

12/14/01

JC860 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Title of Invention

Polyoxypropylene/Polyoxyethylene Copolymers  
with Improved Biological Activity

Named Inventor(s)

R. Martin Emanuele et al.

Attorney Docket

19720-0229 (42896-263913)

Express Mail Label No.

EL910718949US

JC959 U.S. PTO

10/017223

12/14/01

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims Small Entity status
3. ☒ Specification, Claims,  
and Abstract Total Pages 56
4. ☒ Drawings Total Sheets 20  
Total Pages 76
5. Oath or Declaration
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16  
completed)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
5b, is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated  
by reference therein.  
(i) ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
6. ☐ Microfiche Computer Program (Appendix)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**ACCOMPANYING APPLICATION PARTS**

7. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of  
above copies
8. ☐ Assignment:
  - a. ☐ Assignment Papers (cover sheet &  
document(s))
  - b. ☒ Assignment is of record in parent  
application No. 07/847,874.
9. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)  
☐ Power of Attorney by assignee
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS) PTO-  
1449  
☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
15. ☐ Other:

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application U.S. Serial No. 09/368,855, filed  
August 5, 1999 (allowed),  
Recite complete dependency back to first parent application: This application is a continuation of U.S. Patent Application Serial No.  
09/368,855, filed August 5, 1999 (allowed), which is a continuation of U.S. Patent Application Serial No. 08/889,342, filed July 8,  
1997, now U.S. Patent No. 5,990,241, which is a continuation of U.S. Patent Application Serial No. 08/657,161, filed June 3, 1996,  
now U.S. Patent No. 5,691,387, which is a division of U.S. Patent Application Serial No. 08/087,136, filed July 2, 1993, issued as  
U.S. Patent No. 5,523,492, now Reissue Patent No. 36,665, which is a continuation of U.S. Patent Application Serial No.  
07/847,874, filed March 13, 1992 (abandoned), which is a continuation-in-part of U.S. Patent Application Serial No. 07/673,289,  
filed March 19, 1991, now abandoned.

**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

23370

PATENT TRADEMARK OFFICE

Name (Print/Type)	Suzanne Seavello Shope	Registration No. (Attorney/Agent)	37,933
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Signature	Suzanne Seavello Shope	Date	12/14/01

**FEE TRANSMITTAL**

Attorney Doc No. 19720-0229 (42896-263913)

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **R. MARTIN EMANUELE, PH.D.**Filing Date: **DECEMBER 14, 2001**Title: **POLYOXYPROPYLENE/POLYOXYETHYLENE COPOLYMERS WITH IMPROVED BIOLOGICAL ACTIVITY**

The filing fee is calculated as shown below:

**1. FILING FEE:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$370	\$370	\$740	
<input type="checkbox"/> DESIGN FILING FEE	\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE	\$255		\$510	
<input type="checkbox"/> REISSUE FILING FEE	\$370		\$740	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		\$370		

**2. CLAIMS:**

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	6 -20=	0	x 9 =		x 18 =	
INDEP. CLAIMS	2 - 3 =	0	x 42 =		x 84 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =		+280 =	
SUBTOTAL (2)						

**3. ADDITIONAL FEES:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)				

**TOTAL FILING FEES: \$370.00**A check is enclosed for the total amount: **\$370.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

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By: Suzanne Seavello Shope  
Suzanne Seavello Shope, Attorney for Applicant  
Reg. No. 37,933Date: December 14, 2001